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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No.	29236.4
	First Inventor or Application Identifier	Paul Robert Williams
	Title	METHOD AND SYSTEM FOR ELIMINATION OF ACOUSTIC FEEDBACK
	Express Mail Label No.	EL417818452US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <b>20</b> ] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>13</b> ] 4. Oath or Declaration [Total Pages <b>2</b> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other: <u>Express Mail Certificate</u>

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Name	David L. McCombs				
	Haynes and Boone, L.L.P.				
Address	901 Main Street, Suite 3100				
City	Dallas	State	Texas	Zip Code	75202-9918
Country	USA	Telephone	214-651-	Fax	214-651-5940

Name (Print/Type)	Bill R. Naifeh	Registration No. (Attorney/Agent)	44,962
Signature	<i>Bill R. Naifeh</i>	Date	9/9/2000

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### Complete if Known

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First Named Inventor	Paul Robert Williams
Examiner Name	n/a
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Attorney Docket No.	29236.4

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### FEE CALCULATION

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101 690	201 345	Utility filing fee	690
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

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#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
6	-20**	X	0.00
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#### Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

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### FEE CALCULATION (continued)

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105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

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### SUBMITTED BY

Name (Print/Type)	Bill R. Naifeh	Registration No (Attorney/Agent)	44,962	Telephone	(214) 651-5706
Signature		Date	9/9/2000		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul Robert Williams                   §       Docket Number: 29236.4  
Serial No.: N/A   §  
Filed: Herewith   §       Group Art Unit: Unknown  
For: METHOD AND SYSTEM FOR                               §  
ELIMINATION OF ACOUSTIC FEEDBACK                   §  
   §

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D-818051.1

**METHOD AND SYSTEM FOR ELIMINATION  
OF ACOUSTIC FEEDBACK**

Inventor: Paul Robert Williams  
75 Lime close  
Stevenage  
Hertfordshire  
SG2 9QB  
England

Citizenship: United Kingdom

Assignees: Harman International Industries Limited  
A company registered in England  
Registration number 1485558  
Registered office:  
4th Floor  
Windsor House  
Pepper Street  
Chester  
CH1 1DF  
United Kingdom

HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
(214) 651-5000  
Attorney Docket No. 29236.4  
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## METHOD AND SYSTEM FOR ELIMINATION OF ACOUSTIC FEEDBACK

5

### Technical Field of the Invention

The present invention relates to sound systems where a microphone in the vicinity of a loudspeaker might give rise to acoustic feedback.

10

### Background

In sound systems, there is some acoustic coupling between a loud speaker, an amplifier, and a microphone so that the entire system forms a closed loop. If a feedback ratio, or "loop gain," exceeds a certain threshold, the system will oscillate, or "ring," and acoustic feedback will occur. This feedback will persist until something is done to reduce the loop gain.

Many sound systems rely on an operator who can determine the presence of acoustic feedback and react before the resonance builds and becomes alarming to the audience, or before equipment damage takes place. The operator normally either reduces the system gain, or manually applies some filtering at a resonant frequency using an equalization device.

The role played by the operator in maintaining system stability against feedback would not be necessary if the system had the ability to detect its own feedback and upon detection, to reduce the loop gain of the system at that particular frequency until the ringing ceased. Accordingly, there is a need for a system or method that can detect the presence of acoustic feedback and automatically reduce the gain at a particular frequency or frequencies.

### **Summary of the Invention**

The present invention provides a method and system for eliminating acoustical feedback. In one embodiment, the method includes determining at least one parameter for at least one notch filter, adjusting the notch filter based on the parameter, processing the digital signals through the notch filter, testing the effect of the notch filter in the system, and removing the notch filter if the notch filter is not effective.

In some embodiments, the method also includes selecting candidate feedback frequencies, as opposed to other desirable sound frequencies. The selection method may include converting the time domain digital signal samples by a Fourier transform algorithm into the frequency domain, using a ballistics approach to find persistent prominences in the frequency spectrum, and testing the prominences.

### **Brief Description of the Drawings**

Fig. 1 is a functional block diagram of a sound system incorporating one embodiment of the present invention.

Fig. 2a is a functional block diagram of one embodiment of a digital processor used in the present invention.

Fig. 2b is a functional block diagram of an alternative embodiment of a digital processor used in the present invention.

Fig. 3 is a process flow diagram illustrating the processing performed by the digital processor.

5        Fig. 4 is a functional block diagram illustrating the functional relationships of the primary processing blocks of one embodiment of the present invention.

Fig. 5 is a process flow diagram illustrating one embodiment of the candidate selection process.

10       Fig. 6 is a process flow diagram illustrating the one embodiment of the ballistics selection process.

Fig. 7a is an illustration of the results of one embodiment of the ballistics selection process.

15       Fig. 7b is an illustration of the results of one embodiment of the ballistics selection process.

Fig. 8 is a process flow diagram illustrating one embodiment of the threshold discrimination process.

20       Fig. 9 is a graphical representation of an illustrative frequency spectrum which may be typical of the output of one embodiment of the present invention.

Fig. 10 is a process flow diagram illustrating one embodiment of the assignment process.

Fig. 11 is a process flow diagram illustrating one embodiment of the state machine process.

25       Fig. 12a is a graphical representation of a sinusoidal signal shown in the time domain.

Fig. 12b is a graphical representation of a sinusoidal signal shown in

figure 12a transformed into the frequency domain.

Fig. 13a is a graphical representation of an illustrative signal in the time domain.

Fig. 13b is a graphical representation of the illustrative signal shown  
5 in figure 13a transformed into the frequency domain.

### **Description of the Preferred Embodiment**

The present disclosure discusses a method and system for eliminating acoustic feedback. It is understood, however, that the following disclosure  
10 provides many different embodiments, or examples, for implementing different features of the invention. Specific examples of components and arrangements are described below to simplify the present disclosure. These are, of course, merely examples and are not intended to limit the invention from that described in the claims.

Referring to Fig. 1, a sound system 100 includes at least one  
15 microphone 102 that generates electrical signals. The electric signals represent audio signals that are sampled at a given rate (e.g., every 21 microseconds) by a conventional analog to digital converter (ADC) 104. The ADC 104 converts the sampled signals from the microphone into digital  
20 samples and sends the digital signals to a digital processor 106. The processor 106 removes any feedback signals from the sound signals and outputs processed digital signals to a conventional digital to analog converter (DAC) 108. The DAC 108 creates analog electrical signals that are sent to a conventional amplifier 110. Amplifier 110 drives a loudspeaker 112 to create  
25 acoustic signals that are free of acoustic feedback.

Referring to Fig. 2A, in one embodiment, the processor 106 includes a digital signal processor ("DSP") 202 that is coupled to a non-volatile memory



204 for storing program instructions. The DSP 202 is also coupled to a random access memory ("RAM") 206 for storing signals received from ADC 104.

Referring also to Fig. 2b, if the processor 106 is incorporated into a product with its own microprocessor, for instance in a loudspeaker controller, a DSP 212 could be coupled to a microcontroller 210. Such an arrangement allows for sharing of resources and functions. In Fig. 2b, the DSP 212 is coupled to its own RAM 214 for data storage. The microcontroller 210 is also coupled to a RAM 216 and non-volatile memory 218. The non-volatile memory 218 can be in the form of flash memory or read only memory ("ROM"). In this embodiment, program instructions may be stored in the non-volatile memory 218, and then loaded during a startup process into the appropriate RAM (e.g., RAM 214, RAM 216, or both).

Fig. 3 is one embodiment of a process flow diagram illustrating the signal processing steps performed by a digital processor 106 (Fig. 1). In step 302, the processor 106 receives a new digital signal from the ADC 104 every few microseconds (e.g. 21 microseconds). In step 304, these digital samples are stored in a circularized buffer in RAM 206 (Fig 2A). In step 306, a process is run on the signals stored in the buffer to determine notch filter parameters. This process 306 may be performed at certain intervals, such as every 85 milliseconds. In step 308, using the notch filter parameters, the signals are processed through a series of one or more notch filters operating in the time domain using the filter parameters determined in step 306. A "notch filter" is a filter that rejects (attenuates) a frequency band and passes a lower frequency band and a higher frequency band. After the notch filters are applied, in step 310, the signals are sent to the DAC 108 (FIGURE 1).

The signals are filtered and processed at one processing rate (steps

302, 304, 308, and 310), but the notch filter parameters may be determined at a different processing rate (step 306). Thus, there may be two processing flows which is graphically illustrated in Fig. 4.

In Fig. 4, flow line 402 represents the processing and filtering of the audio signals. The signals processed along flow line 402 are received and processed by notch filters 407 at one processing rate (e.g., one signal every 21 microseconds). However, the processing for determining the notch filter parameters are processed at a different rate (e.g., one signal every 85 milliseconds). This processing is represented by flow line 404. Circular buffer 405 stores copies of the digital signals processed along flow line 402 so that they can be processed at a slower rate along flow line 404.

Conceptually, the process of determining the notch filter parameters can be divided into two major processes, each process comprising a series of subroutines or sub-processes. A first process is the candidate frequency selection process 406. A second process is the implementation process 408. As will be explained in detail later, the candidate frequency selection process 406 uses a Fast Fourier Transform ("FFT") subroutine 410, a magnitude squared subroutine 412, a ballistics process 414, a prominence search routine 416 and a threshold detection process 418.

The implementation process 408 uses an assignment process 420, a series of state machine routines 422, which are coupled with filter parameter generation processes 424. Once the candidate frequencies are selected by the frequency selection process 406, the implementation process 408 tests candidate frequencies by applying a corresponding notch filter at the candidate frequencies in the sound signal in flow path 402 at one or more notch depths over time. A "notch depth" is the amount of filtering (i.e., reduction in amplitude, usually described in decibels) at a particular

frequency. If there is good correlation between the trial filter depth changes and the recorded amplitude changes, then the frequency is determined to be the result of acoustic feedback. In this case, one or more of the notch filters 407 are left in the flow path 402 at a predetermined notch depth. If there is  
5 poor correlation, then the frequency is determined to be a result of a wanted signal (e.g. music or speech etc), so the notch filter is removed.

As those who are skilled in the art will appreciate, sound signals are converted into digital signals in the time domain, but spectral analysis and discriminations are often performed in the frequency domain. A process  
10 known as a discrete Fourier Transform is one method of converting a signal from the time domain to the frequency domain. A Fast Fourier Transform ("FFT") is an efficient and well known algorithm for calculating the discrete Fourier Transform of a signal.

Figs. 12a and 12b can be used to illustrate the function of a FFT. Fig.  
15 12a is a plot showing magnitude of an analog sinusoidal signal 1202 of fixed frequency plotted against time (i.e., in the time domain). The analog signal 1202 can be converted to a digital signal by the ADC 104, which samples the signal at discrete instances in time (along the x-axis) with a fixed interval 1206 between the samples. Such samples are represented in Fig. 12a as  
20 number vertical lines 1204. The samples may then be converted into the frequency domain by means of the FFT to determine the frequency components of the signal in a discrete manner. For simplicity, the signal 1202 is shown sampled at an interval 1206 that provides 16 sample points per time segment, or FFT frame. The preferable number of sample points is  
25 a number that is a power of two. The greater the sample points per segment, the greater the discrimination and filtering capability. The present invention uses 4096 sample points per time segment.

Utilizing the FFT algorithm, each segment or "frame" of the time domain signal can be converted into the frequency domain. The number of discrete frequency components which can be distinguished in the frequency domain is equal to half the number of samples in the segment. For the example of Fig. 12a, the Fast Fourier Transform of each segment of the signal is distinguishable into sixteen different evenly spaced frequency components for a given frequency band. When the frequency spectrum is expressed in hertz, the frequency band runs from 0 to one-half of the actual sampling rate.

Fig. 12b is a graphical representation of the time domain signal of Fig. 12a transformed into a frequency spectrum (i.e., the frequency domain) by a FFT analysis. Fig. 12b shows a plot indicating the relative magnitude (i.e., the vector of the real and imaginary components) of the signal at a particular frequency. The relative sizes of these components are determined from the phase of the sinusoid relative to the FFT frame. Because the signal 1202 is a sine wave of fixed frequency, the corresponding frequency domain plot has only one frequency component, namely, a component at frequency  $f$ .

Fig. 13a is another example illustrating a complex time domain signal 1302. Fig. 13b is a frequency spectrum 1304 of the signal 1302 illustrated in Fig. 13a. The vertical y-axis of Fig. 13b represents the relative magnitude of the signal 1304 at a particular frequency on the horizontal x-axis. As those skilled in the art will appreciate, the FFT produces arrays representing the real and imaginary components of each segment of the frequency response. These output arrays are known as "bins" and typically represent the relative magnitude of the energy for the signal within a discrete frequency range. Therefore, each bin or "frequency bin" represents a fixed width of the frequency spectrum.

When a typical signal is converted into the frequency domain by the FFT, this signal has frequency components divided into bins representing the entire frequency spectrum. A frequency spectrum or FFT “frame” is the array of output bins produced for any given time segment. A new FFT frame  
5 can be produced at regular intervals.

Turning now to Fig. 5, the candidate frequency selection process 406 is illustrated in more detail. The candidate frequency process 406 selects candidate frequencies and, then discriminates or eliminates many of the initial candidate frequencies. Too many candidate frequencies would disrupt  
10 the audio signal to an unacceptable degree.

Once a predetermined number of new signals have accumulated in a signal storage buffer (e.g., a predetermined portion of RAM 206 or RAM 216), in step 502, the signal information in the buffer is processed using a Fast Fourier Transform algorithm (FFT). In one embodiment of the present  
15 invention, a FFT is run once every 4096 samples, and produces 4096 bins per FFT frame. The rate at which FFTs are run is 1/4096th of the sample rate, or about 85milliseconds. This effectively produces a sample rate of 11.7Hz for FFT processing. The FFT transforms the time domain signal into a pair of arrays of FFT bins representing the real and imaginary components of the  
20 signal in the frequency domain.

In step 504, half of the real and imaginary output arrays from the FFT are combined by summing the squares of each to produce an array of bins representing the magnitudes squared. The other half is a redundant mirror image. Thus, in the present embodiments, 2048 magnitude-squared values  
25 are stored for later analysis.

In step 506, all the “magnitude squared bins” are averaged into a Mean-Square value, or a mean value of the magnitude-square values. Such a

value is a measure of the energy of the signal during the current FFT frame. This value can be used as a reference of the energy of the overall signal against which the feedback energy can be compared by examining the ratios between them. As those skilled in the art will appreciate, the ratios can be compared with either squared or linearly represented data. Consequently, the present invention should not be interpreted as being limited to analyzing data based only on "squared data."

In step 508, the ballistics process 414 is then applied to the magnitude squared bins or "frequency magnitude bins." The ballistics sub-process is actually a bank of digital filters operating in the (frame rate) time domain on each FFT bin. For each frequency magnitude bin, a running average value of past bin values can also be kept in memory.

Fig. 6 provides one example of a ballistics process, such as may be used by the ballistics process 414. In step 602, the new value of the frequency magnitude bin ("NEW\_VALUE") is compared to the previous value from previous FFT frames ("OLD\_VALUE"). If the NEW\_VALUE is less than the OLD\_VALUE, then the OLD\_VALUE is set to the NEW\_VALUE in step 604. On the other hand, in step 606, if the NEW\_VALUE is greater than the OLD\_VALUE, the OLD\_VALUE is set to the following first order filtering equation:

$$\text{OLD\_VALUE} = (\text{NEW\_VALUE} - \text{OLD\_VALUE}) * K + \text{OLD\_VALUE}.$$

Where K is the filtering coefficient according to the equation:

$$K = 1 - (1 - \text{Threshold})^{(1/(t * Ffs))}$$

Where  $t$  is the time value to reach threshold, for example 1 second, the Threshold is the fractional value for which the time value is calibrated, for example 0.5, and Ffs is the frame sample rate, for example 11.7Hz

Such filtering is only applied when the NEW\_VALUE from the FFT is

greater than the OLD\_VALUE in memory. In step 608, when the NEW\_VALUE from the FFT is less than the OLD\_VALUE in memory, the value in memory is replaced by the NEW\_VALUE from the FFT. The result causes higher values (attack phase) to build up in the memory over time, whereas lower values (release phase) are reflected in the memory instantly (zero release time).

The operation of the ballistics subroutine as it performs multiple iterations can be illustrated graphically in Figs. 7a and 7b. Fig. 7a illustrates a graph of a ballistics output or response 702 ("OLD\_VALUE") of a single FFT bin with a music signal plotted over time. The rapidly changing music signal causes the "OLD\_VALUE" to "release" or decrease as the signal varies. Fig. 7b, on the other hand, illustrates a graph of a ballistics response 704 with a feedback signal. Lingering tones, such as feedback signals, will build up over time. As can be seen, the magnitude of the "OLD\_VALUE" steadily increases to a threshold value over several FFT frames. Thus, the individual frequency bins can be said to have an "attack" or "ballistic" characteristic applied which causes "prominences" to gradually build for the frequency signals that persist, such as feedback signals.

A longer time-constant can be applied to frequency bins representing the lower sound frequencies. The use of a longer time-constant helps to discriminate against bass notes which often persist, without degrading the response time to attenuate high frequency feedback, which often builds up faster, is more alarming, and potentially more damaging. The present embodiments use a time-constant of 2 seconds at the low-frequency end and a time-constant of 200 milliseconds at the high frequency end. The time-constant is defined as the time taken to reach 6dB below the threshold value (i.e., 0.5 of the target value). After the OLD\_VALUE is stored for each

frequency bin at step 608, the ballistics subroutine ends.

Referring again to Fig. 5, upon completion of the ballistics process 414 at step 508, execution proceeds to step 510. In step 510, the prominence search routine 416 selects a predetermined number "N" of the highest  
5 "OLD\_VALUES" or "prominences" from the output of the ballistic process 414. The present embodiment uses N=six as a predetermined number, but any predetermined number could be used.

In step 512, the amplitude (i.e., magnitude stored in the frequency bin) and the frequency bin number (indicating the frequency) of these N highest  
10 prominences are then passed to the threshold detection process 418. The threshold detection process 418 assures that the prominences are at least a predetermined magnitude (i.e. is must be loud enough to cause problems), and a predefined amount above the mean squared value (i.e. is the feedback frequency significant compared to the level of music/background noise).

15 Fig. 8 describes one embodiment of the threshold detection process 418. In step 802, a relative threshold variable ("RELATIVE\_THRESHOLD") is computed according to the following formula:

$$\text{RELATIVE\_THRESHOLD} = \text{MEAN\_SQUARE} * \text{RELATIVE\_MULTIPLIER}$$

20

Where the RELATIVE\_MULTIPLIER is a predetermined amount. The present invention uses a RELATIVE\_MULTIPLIER of  $(L/150)^2$ , where L is the length of the FFT, for example 4096. However, if actual magnitude values were used rather than square values, the multiplier would be  $(L/150)$ .  
25 The value of the mean MEAN\_SQUARE variable has been determined previously in step 506 (Fig. 5).

In step 804, the magnitude of the frequency bin under consideration is compared to an ABSOLUTE\_THRESHOLD variable. One embodiment sets





Thus, only prominences that are above both of these thresholds (i.e., RELATIVE\_THRESHOLD and ABSOLUTE\_THRESHOLD) are then considered to be candidate frequencies. This concept is illustrated graphically in Fig. 9. Fig 9 shows a frequency spectrum indicating an  
5 absolute threshold level 902, a relative threshold level 904, and a mean-square level 906 (which is used to calculate the relative threshold 902). A prominence 908 would be passed on as a candidate for future consideration because its magnitude is greater than both the absolute threshold 902 and the relative threshold 904. Prominence 910 would not be passed on as a  
10 candidate frequency because the magnitude of prominence 910 is not greater than the absolute threshold level 902.

Referring again to Fig. 5, if at step 512 the candidate frequencies meet the conditions of the threshold detector subroutine, they are passed to the implementation process 408 in step 514. As previously discussed, the  
15 implementation process 408 tests of the candidate frequencies by testing the effect of a notch filter on the candidate frequency. One embodiment of the implementation process 408 uses assignment process 420 to assign a state machine subroutine to each candidate frequency. After the candidate frequencies are assigned to one of the state machine routines 422, the  
20 appropriate state machine algorithm is executed.

One embodiment of the assignment process 420 is control scheme that runs the state machine subroutines in succession from zero to the last state machine routine. (There are N state machines for N notch filters because one state machine controls one notch filter). One embodiment of this routine uses  
25 6 filters. Any number of filters, however, could be used. For each candidate frequency, the assignment process 420 searches all state machine routines, if the candidate frequency is already in use, the candidate frequency is

assigned to the same state machine routine. If the candidate frequency is not already in use, the candidate frequency is assigned to the first free state machine routine.

Fig. 10 illustrates one embodiment of the assignment process 420. In  
5 step 1002, a filter counter is set to zero. In step 1003, a flag  
FREQUENCY\_ASSIGNED is set to false. In other words, it is assumed that  
the new candidate frequency has not yet been assigned to the current notch  
filter.

In step 1004, the state machine subroutine assigned to the current  
10 filter is executed. Step 1006 determines whether the filter counter has  
incremented to the last filter number (i.e., "N"), if it has, then the assignment  
process 420 exits. If not, then step 1007 determines whether the flag set for  
the candidate frequency in step 1003 is still false. (In other words, has the  
appropriate state machine routine changed the flag?) If the flag is set to  
15 true, then the candidate frequency has already been assigned and the routine  
exits. If not, the filter counter is incremented in step 1008, and the program  
logic returns to step 1004.

The state machine routines 422 which are individually assigned to the  
candidate frequencies causes each candidate frequency is to be "tested" by  
20 setting the associated notch filter to the appropriate candidate frequency at a  
given attenuation depth and bandwidth. There is a state machine subroutine  
associated with each of the N notch filters so that the current status of each  
filter can be tracked. The state machine routines track when each notch  
filter is idle (ready for a new assignment), when the filter is testing a trial  
25 notch, and when the filter is engaged in feedback suppression (i.e. not longer  
a candidate since feedback discrimination has been successful). If, after a  
predetermined test time, the measured amplitude at the candidate frequency

has been reduced by a predetermined minimum amount, then genuine feedback is said to have been detected, and the filter is left in place at a predetermined depth, otherwise the filter is canceled.

5 The logic used by one embodiment of the state machine routine is illustrated in Fig. 11. The candidate frequency is passed to the state machine. In step 1102, a state flag is checked to determine whether or not it is in a testing state for the candidate frequency passed to the state machine routine. If the state flag has been set, a check in step 1104 is run against a timer routine to determine whether a time limit for the state machine has  
10 expired. One embodiment of the present invention uses a time limit of 500 milliseconds. The timer may be a software routine used to measure time intervals. Such routines are well known to those who practice the relevant art. In one embodiment of the present invention, the timer could simply be a counter to count FFT frames because the frames are processed at regular  
15 intervals.

If the time limit has not expired (step 1104), the notch filter is still in a testing state. The subroutine terminates and no action is taken. If the time limit has expired, the current bin magnitude for the frequency is retrieved in step 1106.

20 In step 1108, the magnitude is checked to see if it has been sufficiently reduced by comparing the reduction in magnitude at the candidate frequency to a predetermined value: TESTDROP. In one embodiment, TESTDROP is 3dB. If the magnitude for the candidate frequency has not been reduced by TESTDROP, the candidate frequency is not likely to be feedback.  
25 Consequently, the parameters of the notch filter are set to "bypass" in step 1110 (which can also be done by setting the notch depth to 0 db causing the filter to have no effect on the audio signal.) The idle state flag is set in step

1112.

Once the TESTDROP comparison has failed, the filter bypassed, and the state set to Idle, the state machine routine and the filter are ready to be reassigned (in the same frame if necessary) to another frequency.

5 Consequently, the notch filter will no longer function at that candidate frequency. If the magnitude of the frequency has been sufficiently reduced by more than TESTDROP, then in step 1111, the filtering state flag is set. In either situation, the logic continues with at step 1116.

10 In step 1116, the state flag is checked to determine if it has been set to filtering (to determine if the notch filter is currently filtering a feedback frequency). If so, a check is performed in step 1118 to determine whether the notch filter is currently set to the same frequency or within a few bins of the new candidate frequency. Checking within a few bins of a candidate frequency creates a “deadband” each of candidate frequency to assure that  
15 additional candidate frequencies are not erroneously located around the main candidate frequency in future iterations. One embodiment of this routine uses 2 bins.

If it is the same frequency (or close to the same frequency), the notch depth is increased in step 1120. If the current frequency is not the same as  
20 the candidate frequency, then the program exits so that the candidate frequency can be tested by another filter state machine.

Referring back to step 1116, if the state flag is not set to the filtering state, then the logic proceeds to step 1121. Step 1121 tests the state flag to see if the filter is in the idle state. If the state flag is not in an idle state, the  
25 routine exits. If the state flag is set to the idle state, (indicating that the filter is bypassed), then in step 1122 new filter parameters are set. The filter parameters may include new frequency, bandwidth, and trial notch depth.

As those skilled in the art will realize, the bandwidth is a function of the specific FFT length and windowing used. In one embodiment, the bandwidth is determined by the following pseudo code equation:

BandWidth (Octaves) = (WINDOW\_SMEAR \* SAMPLE\_RATE / FFT\_LEN) /  
5 Frequency(Hz)  
If (BandWidth < MIN\_BANDWIDTH), then BandWidth = MIN\_BANDWIDTH

In the above pseudo code routine, the variable "WINDOW\_SMEAR" compensates for the smearing factor of FFT windowing. In the present  
10 invention, this is set to 2.1 for a Hann window, which is one of many windows that could be used with an FFT analysis. The SAMPLE\_RATE is 48000, the FFT\_LEN is 4096, and the MIN\_BANDWIDTH is 0.05. The notch filters could also be set to a predetermined bandwidth if a FFT is used with sufficient resolution and over a given sensible frequency range of operation.  
15 One embodiment uses a trial notch depth of 6dB. The trial notch depth is increased in depth in steps of 6dB when feedback is detected at the same frequency as the one already being filtered.

In step 1123, the variable FREQUENCY\_ASSIGNED is now set to "true." This variable is passed to assignment process 420 to indicated that  
20 this state machine has now been assigned a new frequency. The timer is reset to zero and started in step 1124. In step 1126, the state flag is set to testing and the subroutine exits.

After being modified by the notch filters 407, the resulting signal is passed to the DAC 108 for conversion back to the analog domain, the  
25 resulting signal being passed ultimately to the amplifier 110 and loudspeaker 112 for reproduction.

In sum, the present embodiment is very effective at picking lingering tones out of a noisy (music) background. The process ensures that

frequencies that are not continuous (and are thus probably music signals) are not considered for candidature. A tone must be present continuously for a period of time before becoming a candidate. Also, the rate at which the tone build up in the ballistics memory is dependent on the amplitude of the tone,  
5 so a high level tone is acted on more urgently than low level ones.

Once the candidate frequencies are selected by the frequency selection process, the implementation process continuously tests candidate frequencies by applying trial notch filters at the candidate frequency. If there are good results, then the frequency is determined to be the result of acoustic  
10 feedback. If there are poor results, then the frequency is determined to be a result of a wanted signal (e.g. music or speech etc), so the notch filter is removed.

The present embodiment, therefore, dynamically guards against feedback in applications where the conditions are continuously changing,  
15 such as where a microphone is mobile, and is being moved around in the same environment as the loudspeaker(s).

**WHAT IS CLAIMED:**

1. A method of eliminating acoustical feedback in a system comprising:  
determining at least one parameter for at least one notch filter,  
adjusting the notch filter based on the at least one parameter,  
processing acoustic signals through the notch filter,  
testing an effect of the notch filter in the system, and  
removing the notch filter if the effect is less than a predetermined value.
2. The method of claim 1 wherein the determining step comprises:  
converting the acoustic signals by a Fourier transform algorithm into  
at least one frequency spectrum comprising a plurality of frequency  
bins,  
selecting at least one frequency bin to be a candidate frequency bin,  
discriminating the candidate frequency bin to determine if the  
candidate frequency bin indicates acoustic feedback, and  
determining at least one notch filter parameter based on the candidate  
frequency.
3. The method of claim 2 wherein the selecting step comprises:  
calculating a plurality of prominence values corresponding to a plurality  
of ballistics histories for the plurality of frequency bins wherein the  
prominence values with decreasing histories are reduced, and  
selecting at least one frequency bin to be at least one candidate  
frequency bin based on the prominence values of the ballistics history of  
the respective frequency bin.



4. The method of claim 2 wherein the discriminating step further comprises comparing each of the candidate frequency bins to a predetermined magnitude, if the respective candidate frequency bin value is less than the predetermined magnitude, removing the candidate frequency bin from the selection process.
5. The method of claim 1 wherein the testing step comprises:  
  
determining whether the magnitude at a frequency bin being tested has been reduced by at least a predetermined value, if not, removing the notch filter, and  
  
comparing the frequency parameter to previous frequency parameters, if the frequency parameter is within a predetermined value of previous frequency parameters, increasing a notch depth parameter by a predetermined amount.
6. The method of claim 1 wherein the adjusting step comprises:  
  
setting the notch filter to a candidate frequency,  
  
setting the notch filter to a bandwidth based on the candidate frequency, and  
  
setting the notch filter to a predetermined notch depth.

## Abstract

A method and system is provided for eliminating acoustical feedback in a system. The method determines a parameter for at least one notch filter, adjusting the notch filter based on the parameter, processing the digital signals through the notch filter, testing at the effect of the notch filter in the system, and removing the notch filter if the notch filter is not effective. Also disclosed is a method and system of selecting candidate frequencies which might be feedback, as opposed to other wanted sound frequencies. The selection method sampling the digital signals, converting the time domain digital signal samples by a fast Fourier transform algorithm into the frequency domain, using a ballistics approach to find prominences in the frequency spectrum, and testing the sizes of the prominences.

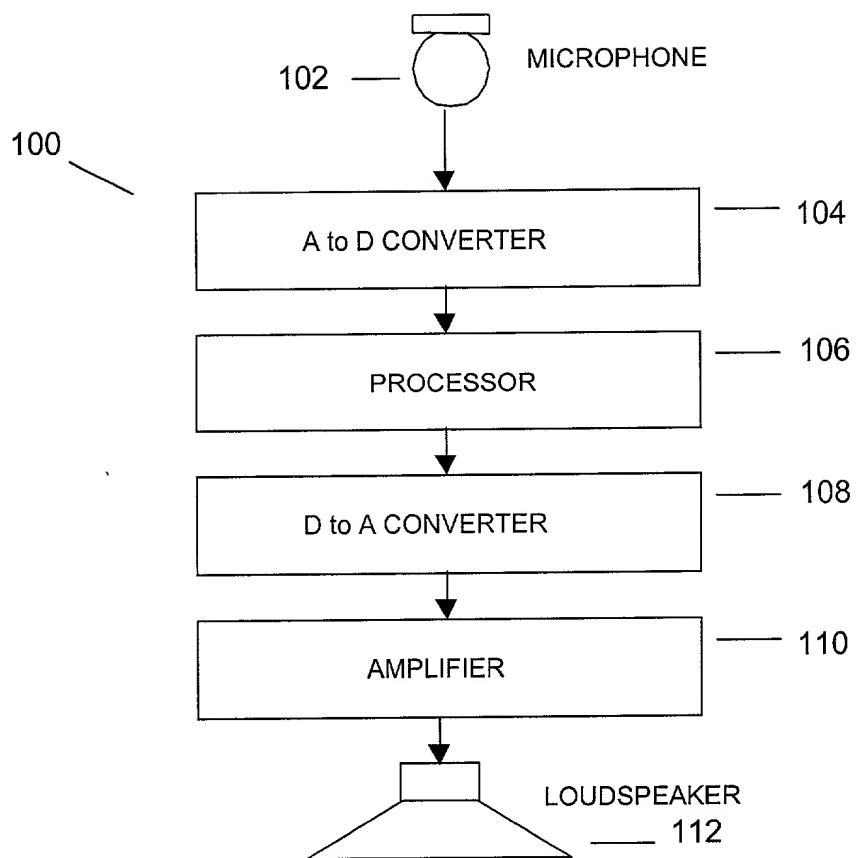
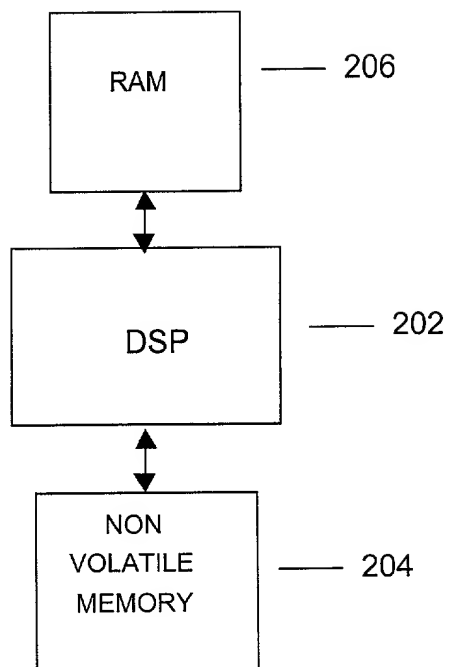
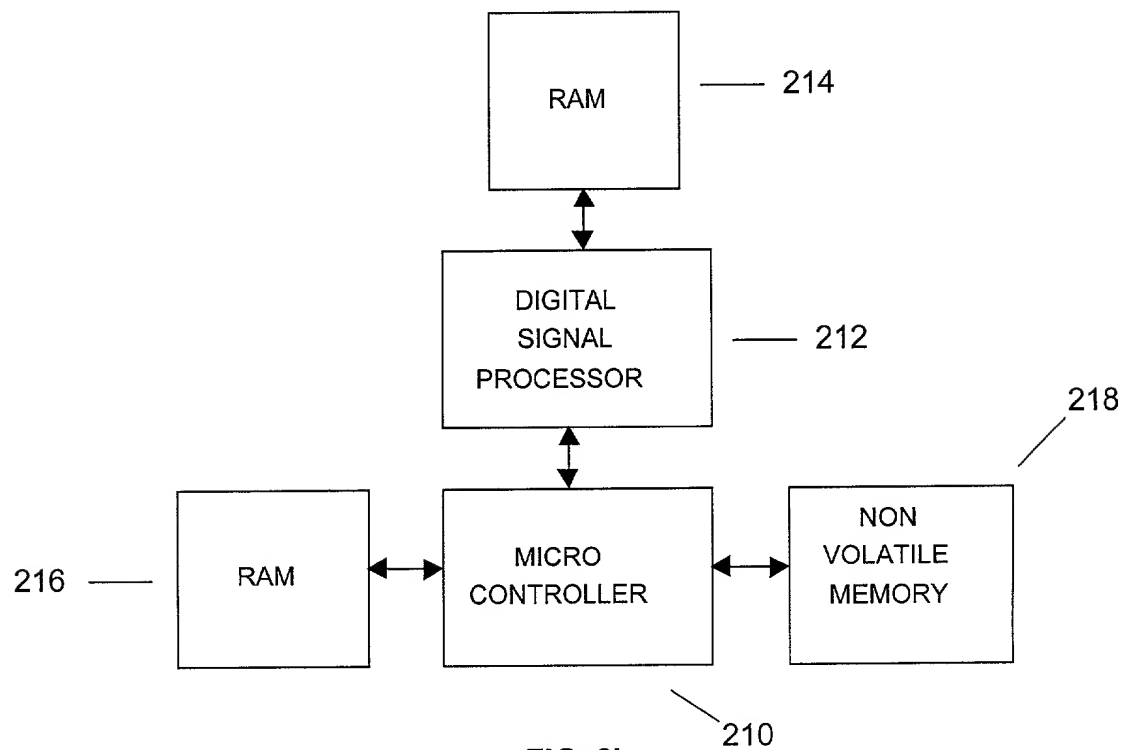


FIG. 1



**FIG. 2a**



**FIG. 2b**

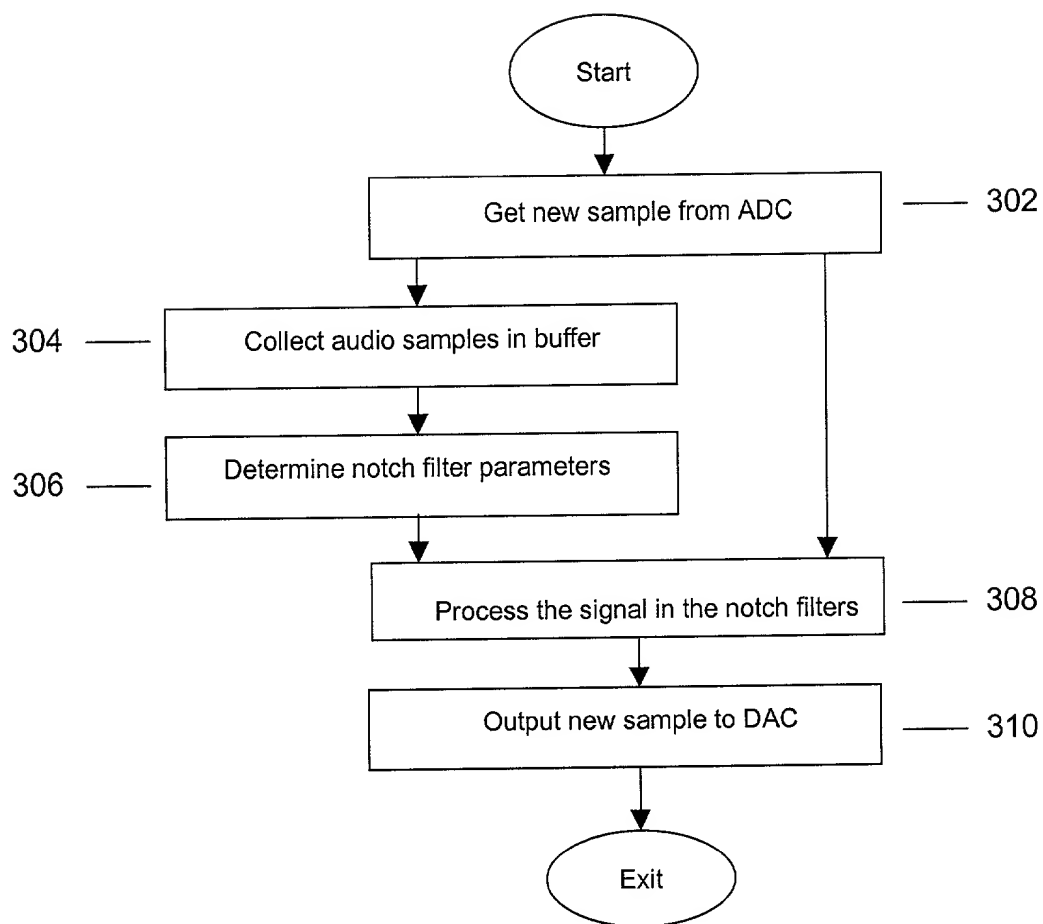


FIG. 3

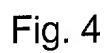


Fig. 4

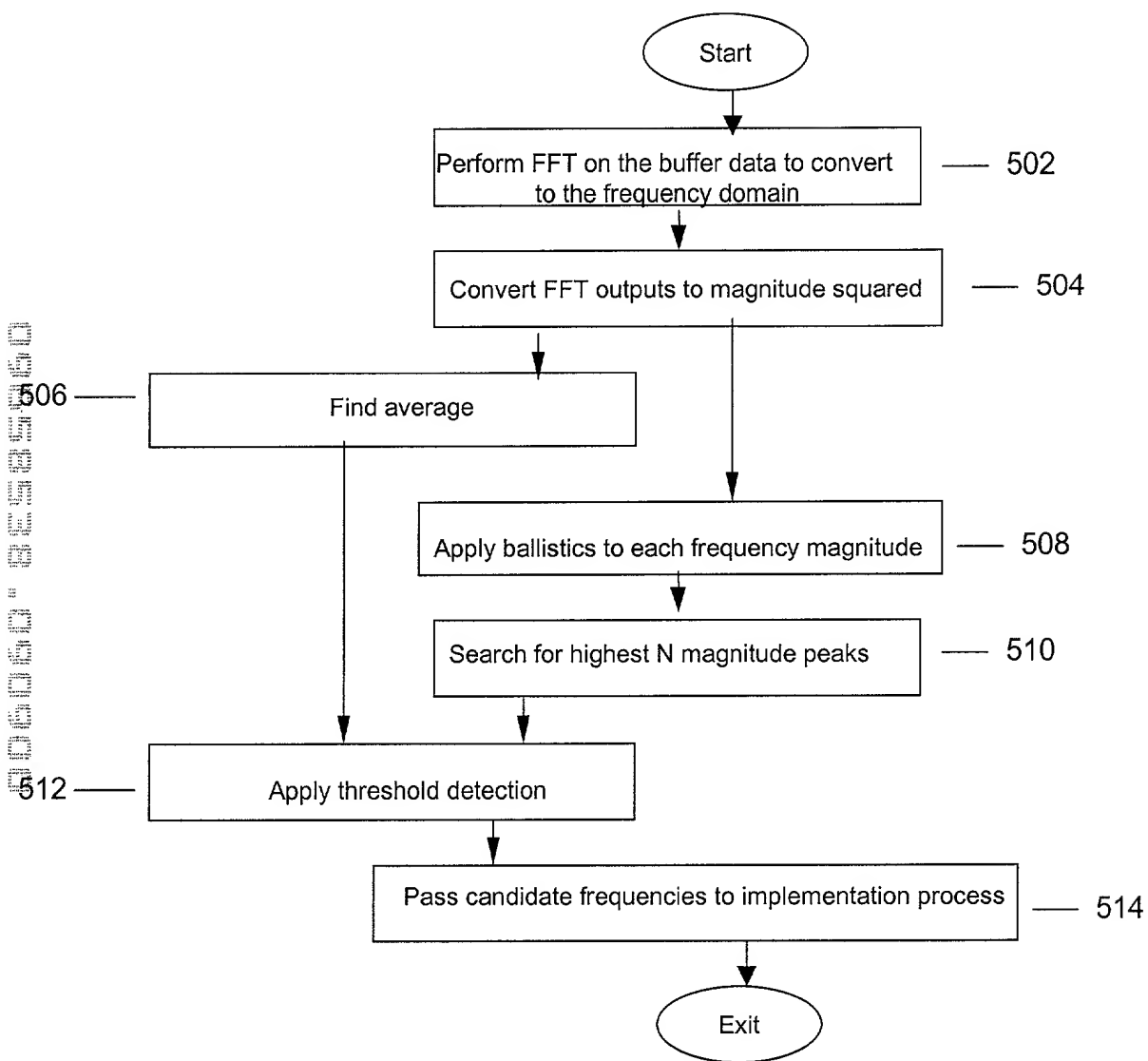


FIG. 5

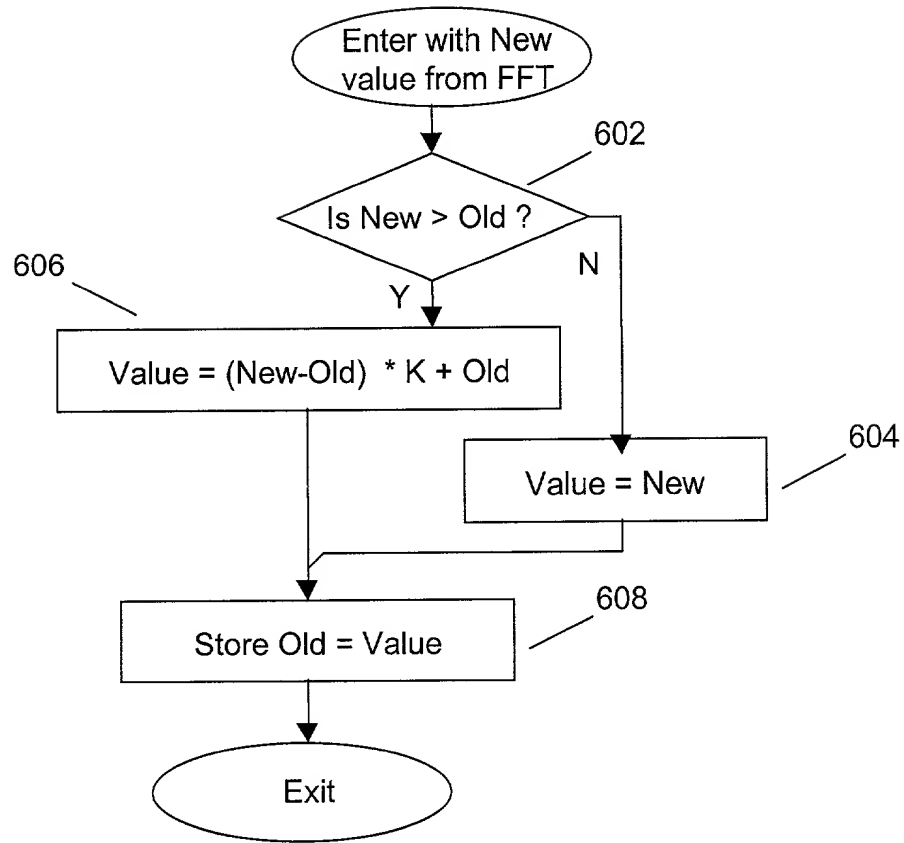


FIG. 6



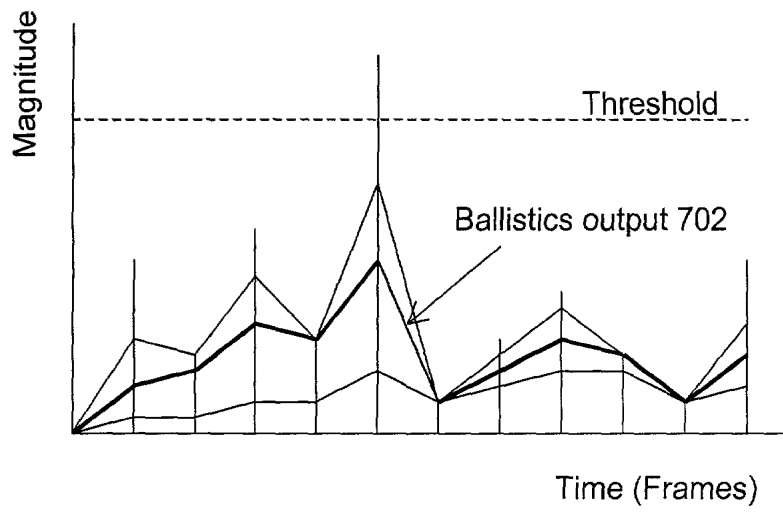
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FIG. 7a

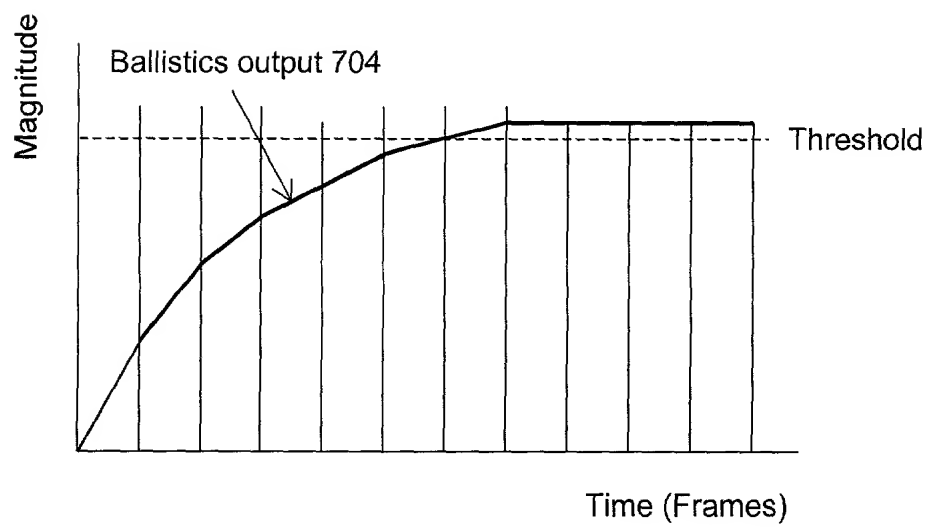


FIG. 7b

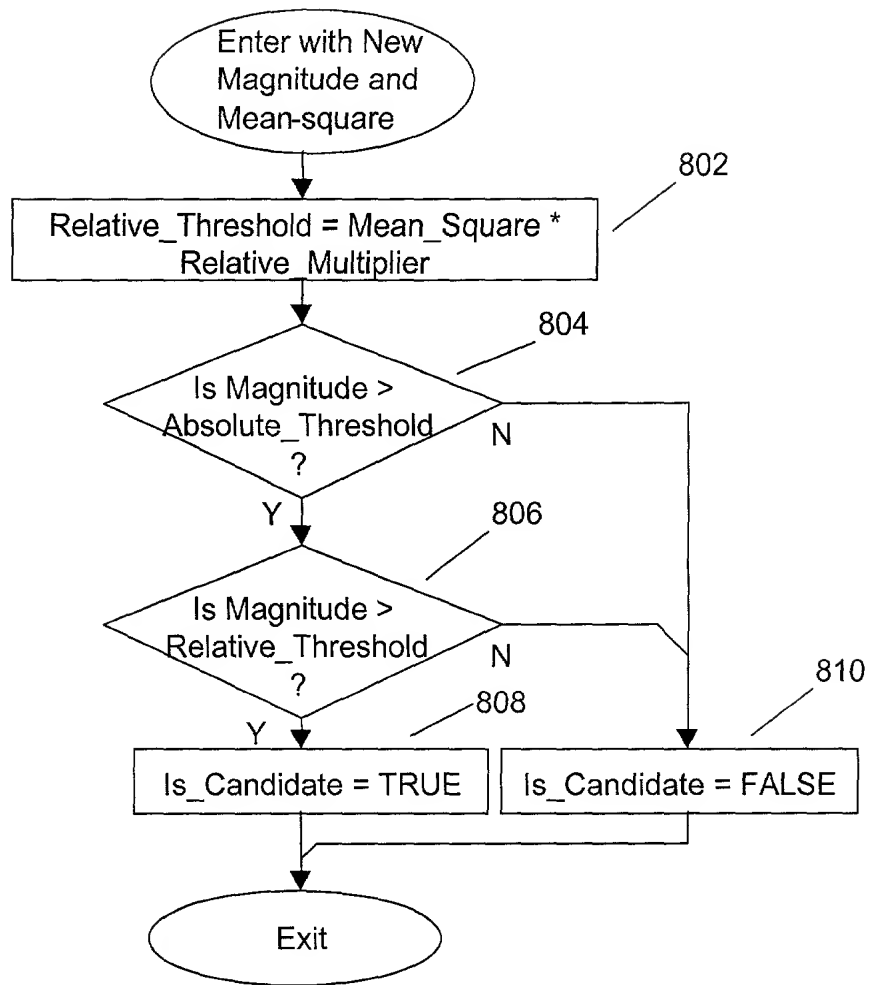


FIG. 8



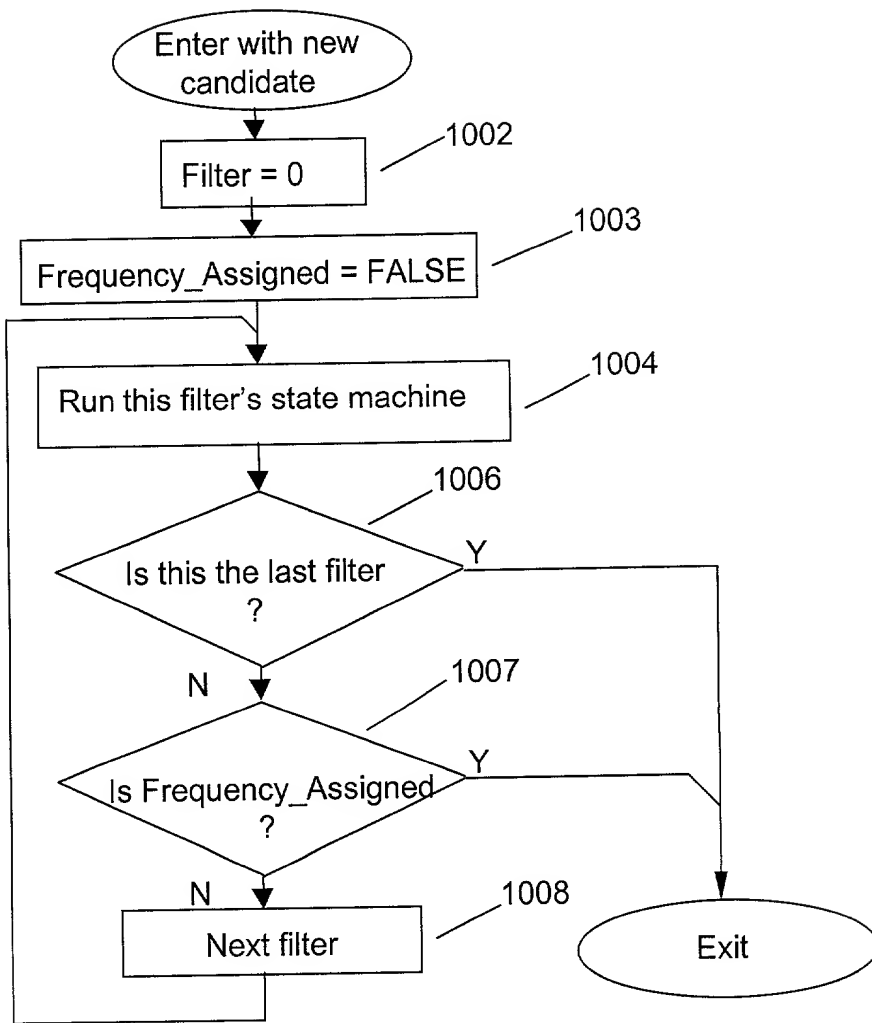


FIG. 10

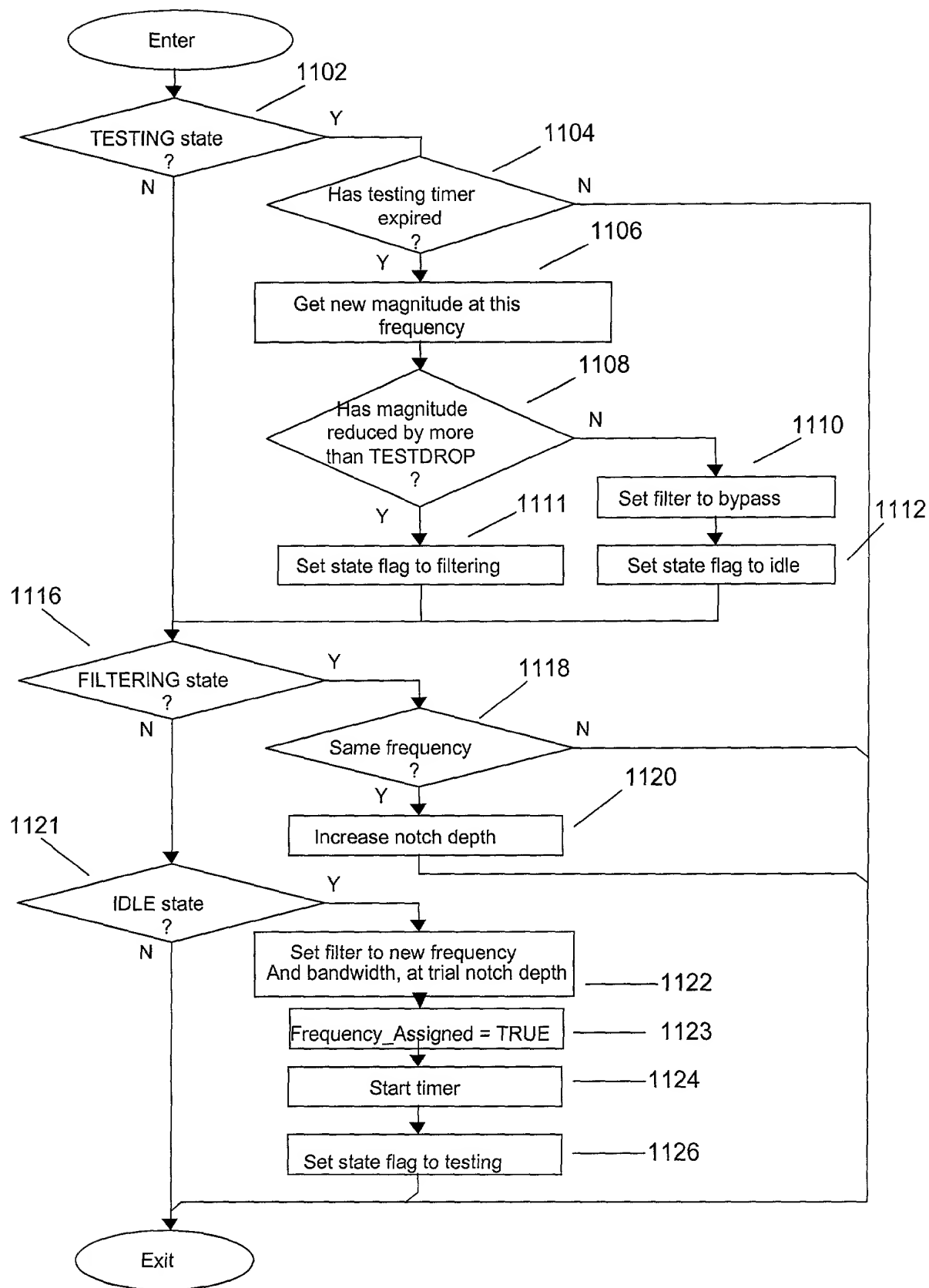


FIG. 11

FIG. 12a

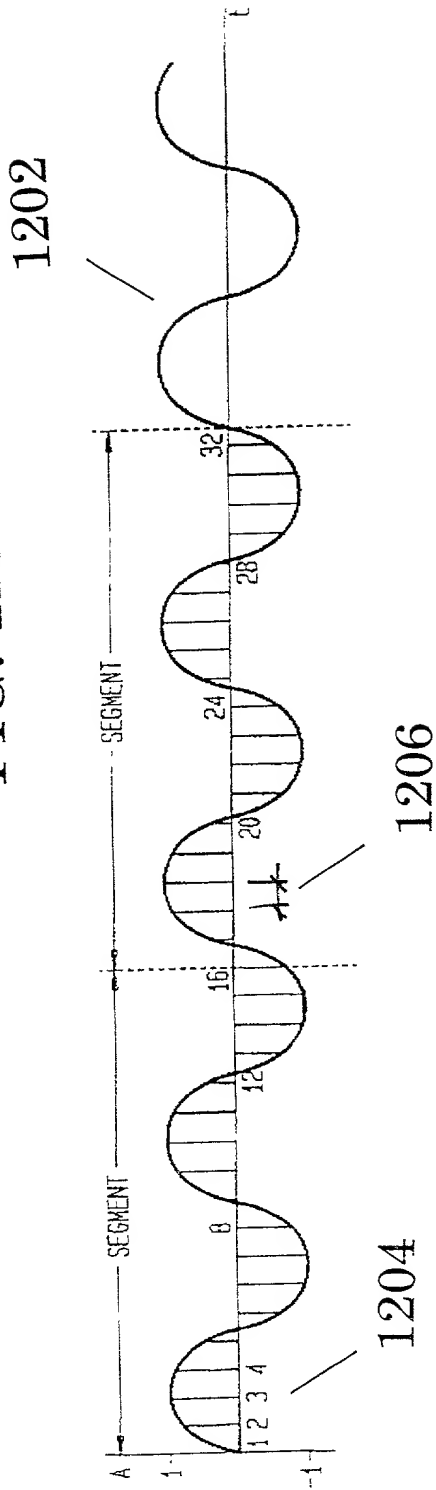
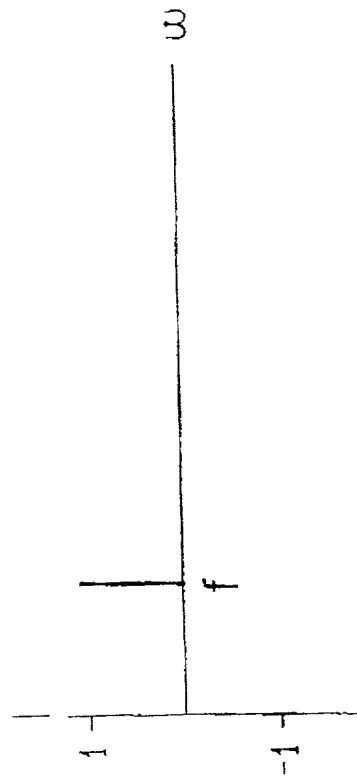
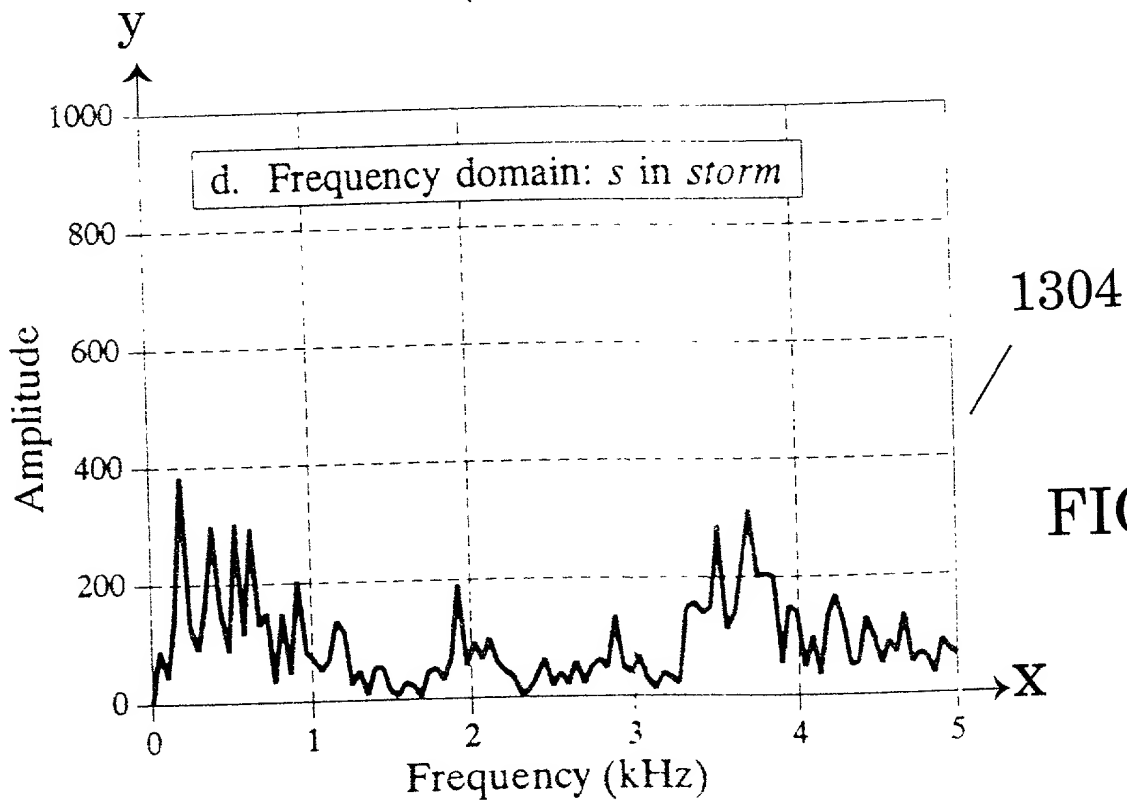
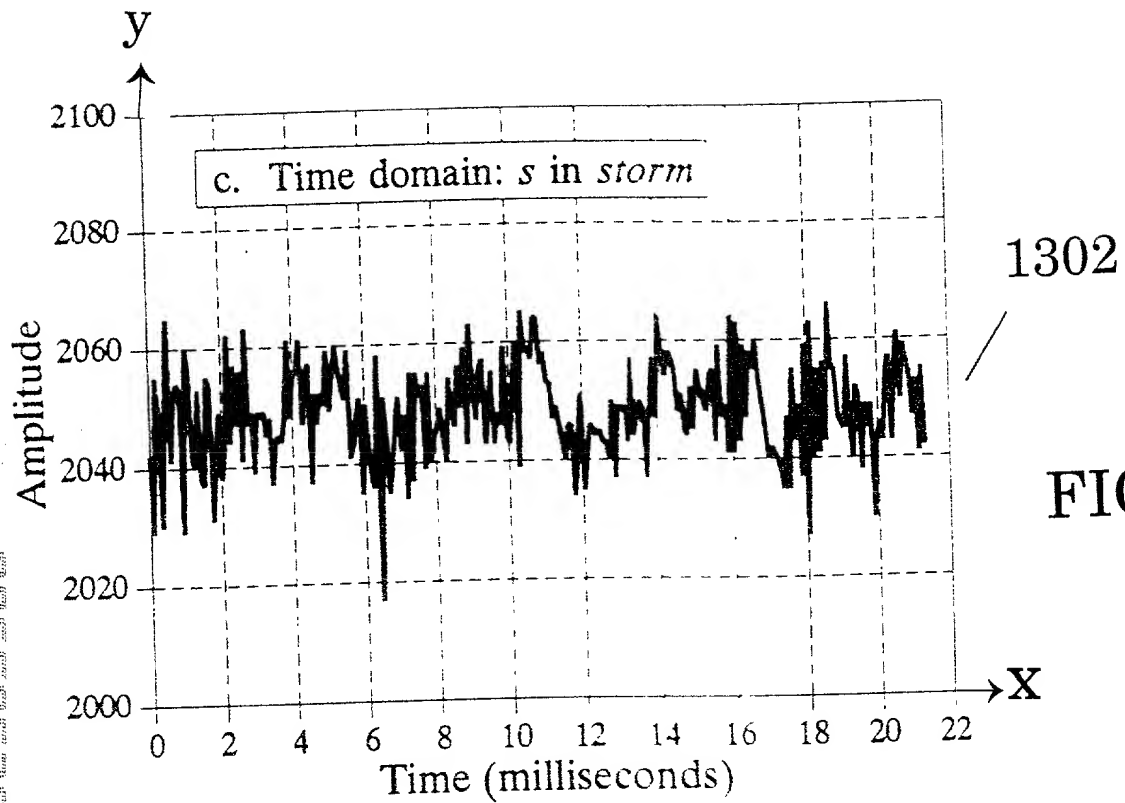


FIG. 12b



006050" 2655350



**DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names;

We believe we are the original, first and sole joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND SYSTEM FOR ELIMINATION OF ACOUSTIC FEEDBACK**

the specification of which: (check one)

  X   is attached hereto.  
  
\_\_\_\_\_ was filed on \_\_\_\_\_  
under Attorney's Docket Number \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR 1.56.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

POWER OF ATTORNEY: As a named inventor, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



PATENT / DOCKET NO.: \_\_\_\_\_

Theodore Baroody	Reg. No. 45,417	Christopher R. Kosh	Reg. No. 42,760
Jeffrey M. Becker	Reg. No. 35,442	Michael J. Balconi-Lamica	Reg. No. 34,291
James R. Bell	Reg. No. 26,528	Todd Mattingly	Reg. No. 40,298
Daniel E. Burke	Reg. No. P-46,588	David L. McCombs	Reg. No. 32,271
Michael S. Bush	Reg. No. 31,745	John W. Montgomery	Reg. No. 31,124
L. Howard Chen	Reg. No. P-46,615	Bill R. Naifeh	Reg. No. 44,962
Randall E. Colson	Reg. No. 40,566	David M. O'Dell	Reg. No. 42,044
Michael A. Davis, Jr.	Reg. No. 35,488	Phillip B. Philbin	Reg. No. 35,979
Ruben C. DeLeon	Reg. No. 37,812	Constance M. Pielech	Reg. No. P-46,991
Timothy Headley	Reg. No. 31,765	Brandi W. Sarfatis	Reg. No. 37,713
Brian J. Hubbard	Reg. No. 45,873	David O. Simmons	Reg. No. 43,124
Rita M. Irani	Reg. No. 31,028		
Warren B. Kice	Reg. No. 22,732		

Send correspondence to David L. McCombs, Haynes and Boone, LLP, 901 Main Street, Suite 3100, Dallas, Texas 75202-3789 and direct all telephone calls to David L. McCombs at 214/651-5533.

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FULL NAME OF INVENTOR: Paul Robert Williams

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATED: \_\_\_\_\_

RESIDENCE: 75 Lime close, Stevenage, Hertfordshire, SG2 9QB, England

CITIZENSHIP: United Kingdom

POST OFFICE ADDRESS: 75 Lime close, Stevenage, Hertfordshire, SG2 9QB, England

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